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ATTORNEY DOCKET NO. 5387-003

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Min-Ho Cha

Serial No.: 09/509,326

Examiner:

Dass, Harish T.

Filing Date: March 24, 2000

Group Art Unit:

3628

Title: AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRACKING OF STOCK,
BOND, ITEM, FUTURE INDEX, OPTION INDEX AND SO ON

Commissioner for Patents
P.O. Box 1450
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INFORMATION DISCLOSURE STATEMENT

Technology Center 2600

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☒ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).

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the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
may be material to the examination of this application and for which there may be a duty to disclose in accordance
with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Hosoon Lee

Limited Recognition under 37 CFR §10.9(b)

01/27/2004 HDAHTE1 00000066 09509326

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MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613

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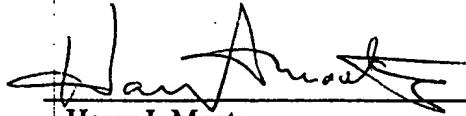
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